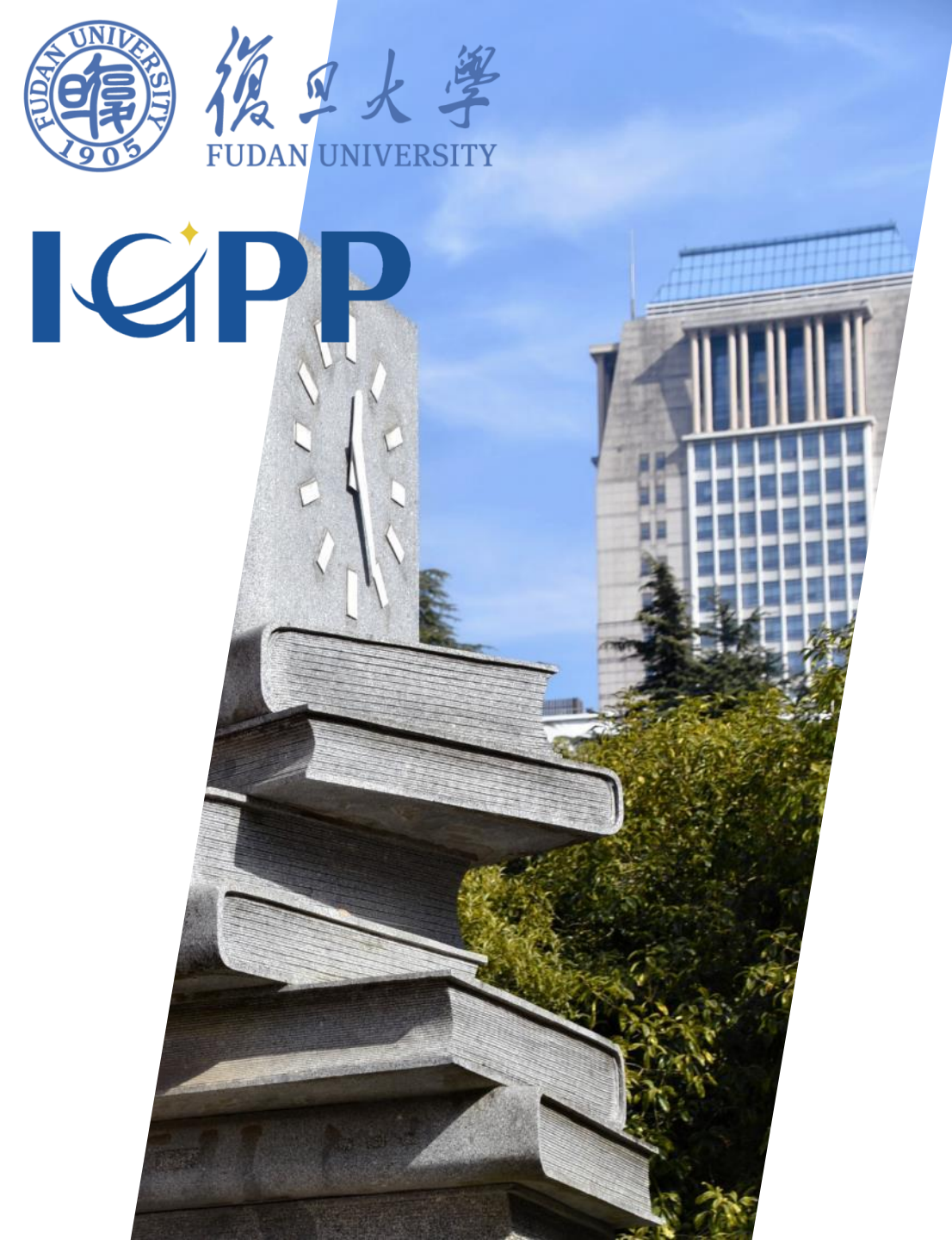




Scientific Relatedness Constrains Novelty but Strategic International Collaboration Buffers This Penalty in Global SDG Research

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Takeaways:

- Analyzing more than 40 million scientific publications from 165 countries ranging from 2000 to 2023, this study uncovers a dual effect of scientific relatedness on countries' future knowledge production.
- Scientific relatedness increases subsequent scientific productivity, but it simultaneously suppresses scientific novelty.
- This negative relatedness-novelty association is more pronounced in the Global South.
- We further evaluate strategies to buffer this novelty penalty, distinguishing between external and internal knowledge-boundary spanning:
 - Externally, enhancing international collaboration and maintaining brokerage roles in global research collaboration networks emerge as effective countermeasures.
 - The moderating role of international collaboration is asymmetric. Increased collaboration intensity helps the Global South, whereas for the Global North, only heterogeneous collaboration (i.e., collaboration with the Global South) proves effective.
 - Internally, strengthening interdisciplinarity of national-level knowledge structures are found to exacerbate, rather than mitigate, this novelty penalty.

Introduction

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➤ Why study the SDG field?

- The SDGs are a global agenda adopted by all 193 UN member states in 2015, aiming to be achieved by 2030.
- Noticeable disparity in the SDGs progress and SDG-related knowledge production persists across countries, particularly with the Global South countries lagging behind (Ma et al., 2025; Qi et al., 2024; Sciarra et al., 2021)



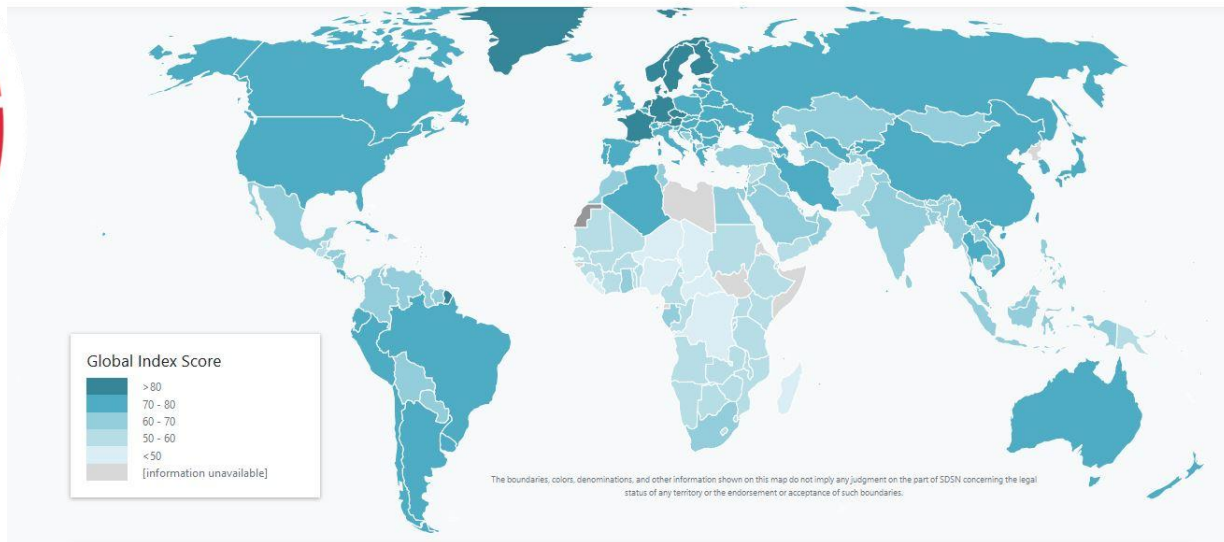
How can countries, especially those in the Global South, accelerate their progress toward the SDGs?



Sustainable Development Report Dashboards 2019
Transformations to Achieve the Sustainable Development Goals



BertelsmannStiftung



Existing approaches are focusing on

- policy implementation
- technology innovation

The current discourse has largely **overlooked the pivotal role of scientific novelty** - the generation of breakthrough knowledge - in driving sustainable solutions (Walsh et al., 2020).

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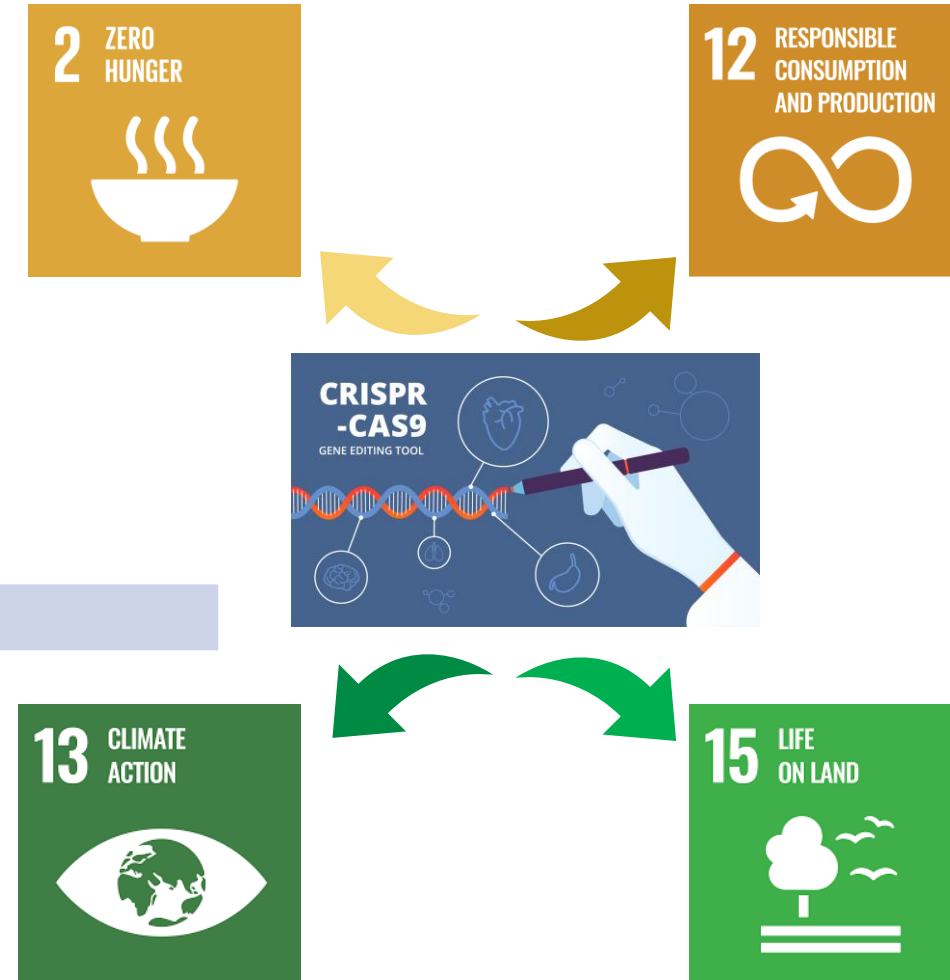


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➤ Why study the SDGs from the perspective of science?

- The generation and integration of novel knowledge into decision-making are critical for promoting SDGs progress (Allen et al., 2018; Wuelser & Pohl, 2016).
- Breakthroughs like CRISPR-Cas9 demonstrate how novel scientific discoveries can directly advance multiple SDGs.



A new research focus is needed. As emerging research highlights, access to frontier science is key to tackling sustainability challenges.

Understanding the national drivers of novel knowledge production is therefore essential for alleviating the global sustainability gap and accelerating progress toward the SDGs.

Theoretical Foundation – Path Dependence in Knowledge Production

Evolutionary Perspective on Knowledge Production

A country's knowledge production is **path-dependent**

Shaped by existing knowledge base, skills, and infrastructures

Influences future output through **cumulativeness** and **interactive learning**

(Dosi, 1982; Nelson & Winter, 1985)

At the regional level:

Pre-existing local knowledge sets and activities configure present and future trajectories of science, technology, and industry *(Kogler, 2015)*

Key driver: Relatedness

Defined as similarities between activities capturing the cognitive dimension of capabilities

Drives regional branching in products, technologies, and industries *(Boschma, 2017)*

Research Gap – What Remains Unexplored?

This line of inquiry rarely touches a crucial issue:

What role does a national/regional knowledge base play in generating *truly novel* knowledge?

Theoretical Extension – Introducing Scientific Relatedness

Adapting Relatedness to the Scientific Domain

Scientific Relatedness: The cognitive proximity between a country's existing knowledge structure and a specific scientific domain

A fundamental tension emerges:

Scenario	Effect
High scientific relatedness	Increases efficiency & productivity (familiar work → lower costs → higher volume)
High scientific relatedness	May cause cognitive lock-in → limits exploration of new ideas → dampens novelty

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The Core Argument – Dual Pressures of Scientific Relatedness

Exploration vs. Exploitation (March, 1991):

Scientific relatedness exerts dual, opposing pressures:

Mechanism	Exploitation	Exploration
Effect	Enhances efficiency and scientific productivity	Risky – may inhibit novelty due to cognitive lock-in

How to mitigate the "novelty penalty"? Two knowledge-boundary spanning mechanisms:

Mechanism	Key Insight
Internal: Interdisciplinary knowledge base	Mitigates lock-in by enabling atypical combinations from distant domains (Jacobs, 2016)
External: International collaboration	Integrates external knowledge and perspectives; enables cross-border spillovers (Wagner & Leydesdorff, 2005; Guan & Chen, 2012; Liu et al., 2022)

Why SDG research needs both:

Complexity & global interdependence of SDGs demand interdisciplinary + international efforts

This study addresses the gaps through two research questions:

RQ1:

How does scientific relatedness of a country's existing knowledge base to an SDG domain shape the quantity (productivity) and scientific novelty of its future knowledge production?

RQ2:

How is the relationship between scientific relatedness and scientific novelty moderated by:

- (a) the country's interdisciplinary knowledge structure, and
- (b) international collaboration?

Research Hypothesis

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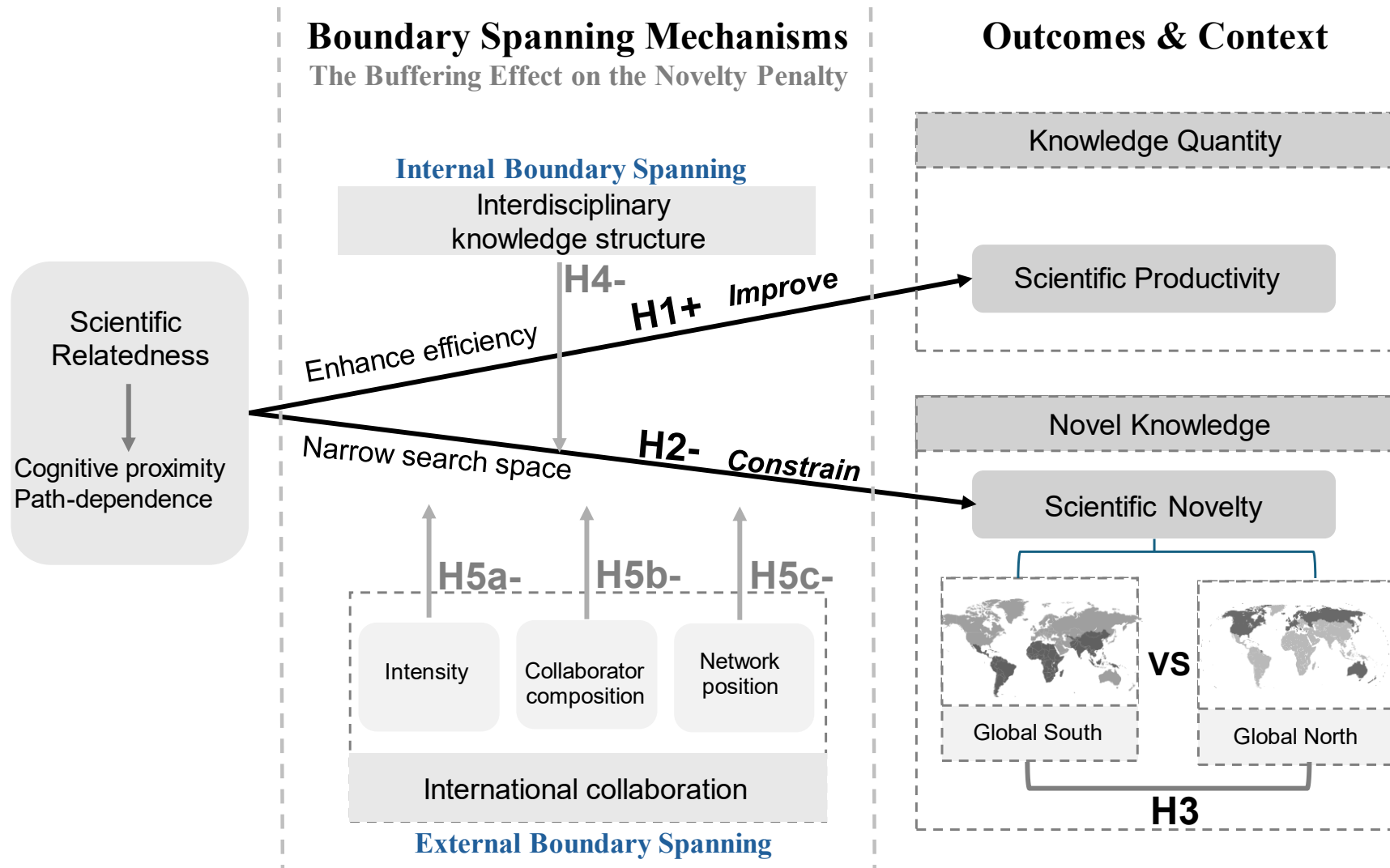


Figure 1. The dual effect of scientific relatedness on scientific productivity and novelty.

The Dual Effect of Scientific Relatedness – Productivity vs. Novelty Penalty

H1: Positive Effect on Productivity

Scientific relatedness to an SDG domain is **positively associated** with future scientific **productivity** (quantity) in that domain.

Mechanisms: Cognitive proximity + path dependence → lower cost, higher efficiency, increasing returns

H2: Negative Effect on Novelty

Scientific relatedness to an SDG domain is **negatively associated** with future **scientific novelty** in that domain.

Mechanisms: Cognitive lock-in, knowledge redundancy, restricted search space → “recombinant gap”

H3: Stronger Novelty Penalty in the Global South

The **negative** relatedness–novelty relationship is **stronger** for countries in the **Global South** than for those in the **Global North**.

Reasoning: Limited knowledge stocks, lower R&D, less diversified portfolios, peripheral network positions

Buffering Mechanisms – How to Mitigate the Novelty Penalty

H4: Interdisciplinarity (Internal boundary spanning)

Interdisciplinarity of a country's existing knowledge structure **weakens** the negative relatedness–novelty relationship.

Mechanism: Cognitive flexibility, diverse recombinant material, expanded search scope

H5: International Collaboration (External boundary spanning)

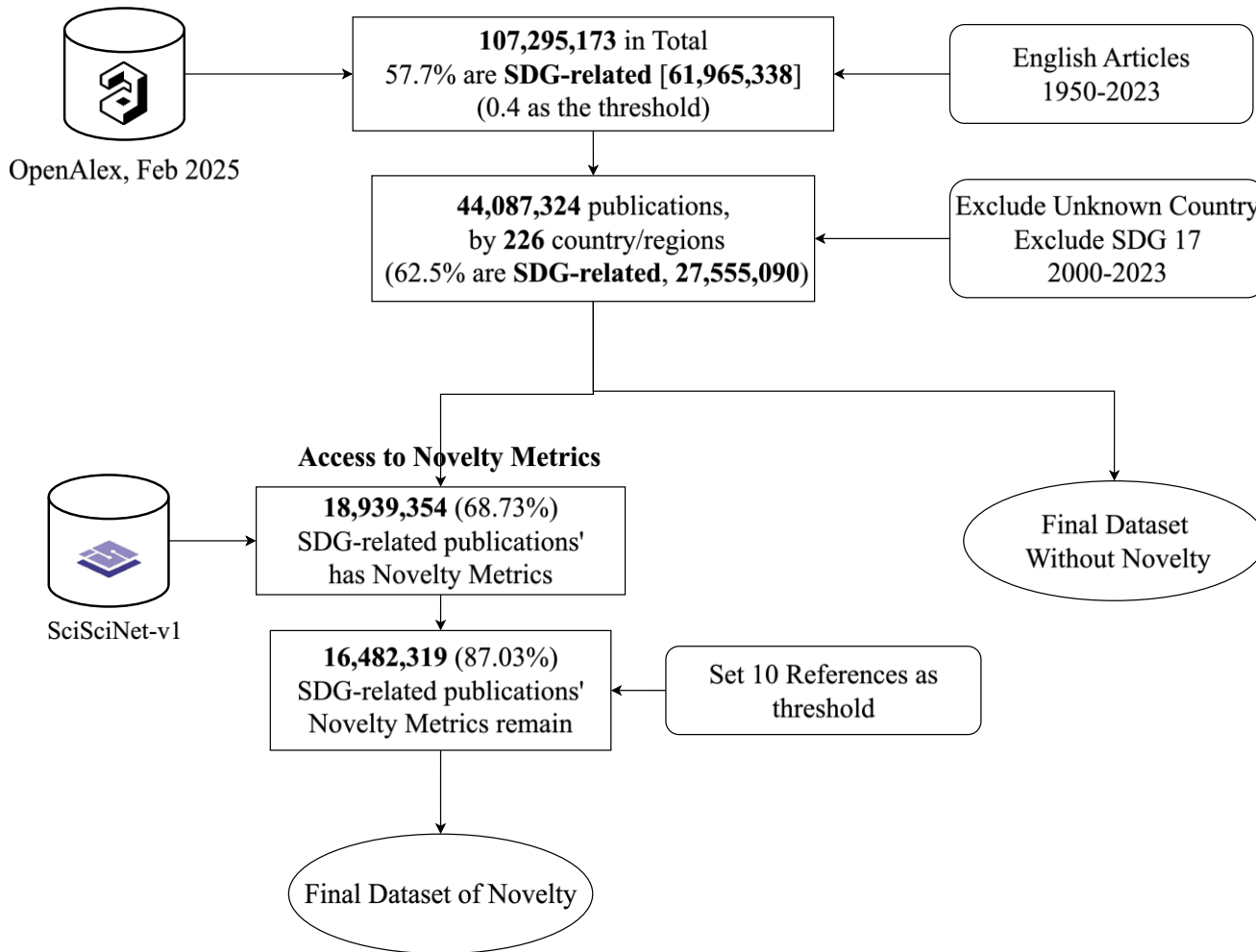
Hypothesis	Statement
H5a	Stronger intensity of international collaboration weakens the negative relatedness–novelty relationship
H5b	Heterogeneous collaboration (Global North–Global South) weakens the negative relationship
H5b.1 (GN)	For Global North countries: higher share of South collaboration mitigates the penalty
H5b.2 (GS)	For Global South countries: higher share of North collaboration mitigates the penalty
H5c	Network constraint (structural disadvantage) strengthens the negative relatedness–novelty relationship (i.e., weakens the ability to buffer)

Methodology

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● Data Source

OpenAlex

Main data:

SciSciNet



Human
Development
Report
Office



Global Macro Database

After this procedure, 43,507,884 publications from 165 countries spanning 2000-2023 remained. Among those publications, 62.5% (approximately 27 million publications) are assigned at least one SDG label (hereafter SDG-related publications).

Independent Variable——Scientific Relatedness

We measured scientific relatedness by adapting the “product space” framework, and defined a country’s scientific relatedness to a specific SDG domain as the alignment between its existing research portfolio and the disciplinary knowledge that the domain requires in each year.

Step 1: Constructing a Knowledge Space for Each Country

First, we constructed a national knowledge space in each year, representing the country’s scientific capabilities as its RCA across 252 subfields.

Step 2: Constructing a Knowledge Space for Each SDG Domain

Second, we built a knowledge space for each SDG in each year, representing the distribution of disciplinary knowledge it demands.

Step 3: Calculating Scientific Relatedness

Finally, we calculated the alignment by summing the importance scores of all subfields relevant to the SDGs, but only for those in which the country holds an RCA.

$$Relatedness_{i,s,t} = \mathbf{v}_{i,t} \cdot \mathbf{v}_{s,t} = \sum_{i=1}^n v_{i,t}^{(f)} v_{s,t}^{(f)}$$

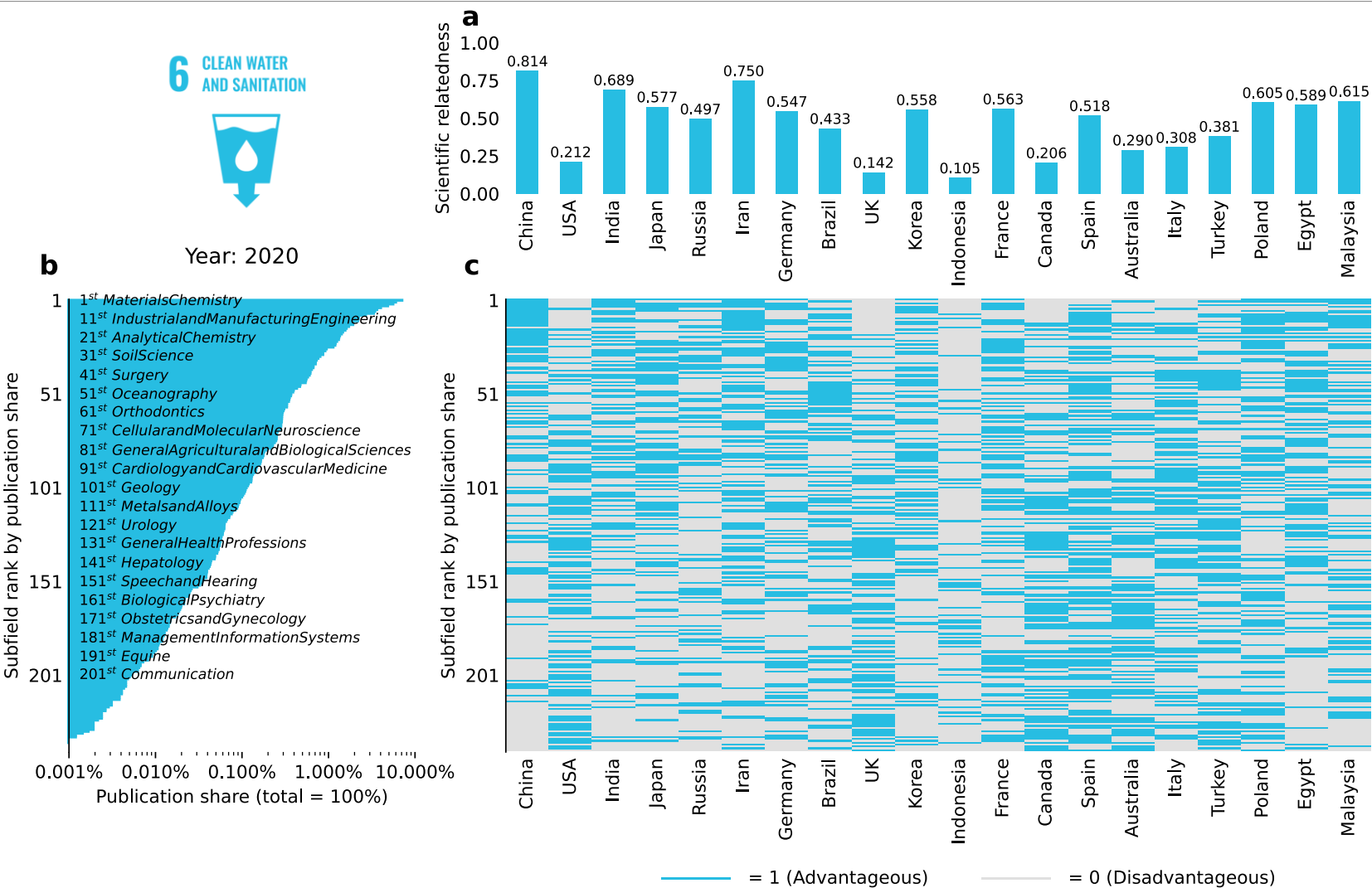


Figure 2. Example for scientific relatedness calculation for SDG 6 in 2020.

Dependent Variable——Scientific Productivity and Novelty

Scientific productivity and scientific novelty are the key dependent variables.

Using a full counting method, we quantified scientific productivity of a country's future knowledge production ($LnSDG Pub_{i,s,t+1}$), using the number of publications produced by country i within SDG s in year $t + 1$.

To measure scientific novelty, we employed the widely validated recombinant novelty metric that is proposed by Uzzi et al. (2013).

Rooted in Schumpeterian innovation theory (Malerba & Orsenigo, 1996), this approach defines scientific novelty of a publication as an atypical combination of prior knowledge, where the observed frequency of any pair of cited journals is compared to the frequency of that pair occurring by chance.

We measured a country's future scientific novelty within an SDG domain using the variable $PrNovelty_{i,s,t+1}$:

$$PrNovelty_{i,s,t+1} = \frac{NP_{i,s,t+1}}{N_{i,s,t+1}}$$

(1) Interdisciplinarity of existing knowledge structure

① Subfields similarity

$$S_{mn,t} = \text{CosSim}(V_{m,t}, V_{n,t})$$

② Proportions of disciplines applied in SDG

$$P_{m,i,s,t} = \frac{N_{m,i,s,t}}{N_{f,i,s,t}}, m \in f$$

True Diversity_{i,s,t}

$$= \frac{1}{\sum_{m \neq n} S_{mn,t} P_{m,i,s,t} P_{n,i,s,t}}$$

(2) International collaboration

① **Intensity:** Proportion of its co-authored international publications

② **Network position:** Position in the global research network—— structural hole

$$\text{Network Constraint}_{i,s,t} = \sum_{j \in N(i)} (p_{ij} + \sum_{q \in N(i)} p_{iq} p_{qj})^2$$

③ **Collaboration composition:** Collaboration with Global North and Collaboration with Global South

Moderating Variables

Variable	Definition
Dependent Var.	
$PrNovelty_{i,s,t+1}$	The proportion of novel publications published by country i in SDG s in year $t + 1$
Independent Var.	
$Relatedness_{i,s,t}$	To degree to which country i 's research strengths in year t align with the disciplinary knowledge structure required by SDG s
Control Var.	
Country-SDG level	
$lnSDGScore_{i,s,t}$	Country i 's performance scores for achievement of SDG s in year t .
$lnSDGPubcount_{i,s,t}$	A Country's total number of publications in SDG s in year t .
$lnSDGCitation_{i,s,t}$	The average citations per article of publications published by country i in SDG s in year t .
Country-level	
$lnIC_ratio_{i,s,t}$	The proportion of internationally collaborative publications published by country i in year t . An internationally collaborative publication is defined as the one where the authors are affiliated with institutions located in at least two countries.
$lnIC_Constraint_{i,s,t}$	
$lnInterdisplinarit_{i,s,t}$	
$lnCitation_{i,t}$	Mean citations of publications that are published by country i in year t per article
$lnGDP_{i,t}$	Gross domestic capita of country i in year t
$lnPopulation_{i,t}$	Population size of country i in year t .
$HDI_{i,t}$	Human development index of country i in year t .

$$DV_{i,s,t+1} = \beta_0 + \beta_1 Relatedness_{i,s,t} + \gamma Z_{i,t} + \delta X_{i,s,t} + I_i + S_s + Y_t + \varepsilon_{i,s,t}$$

Global Disparities in Scientific Relatedness

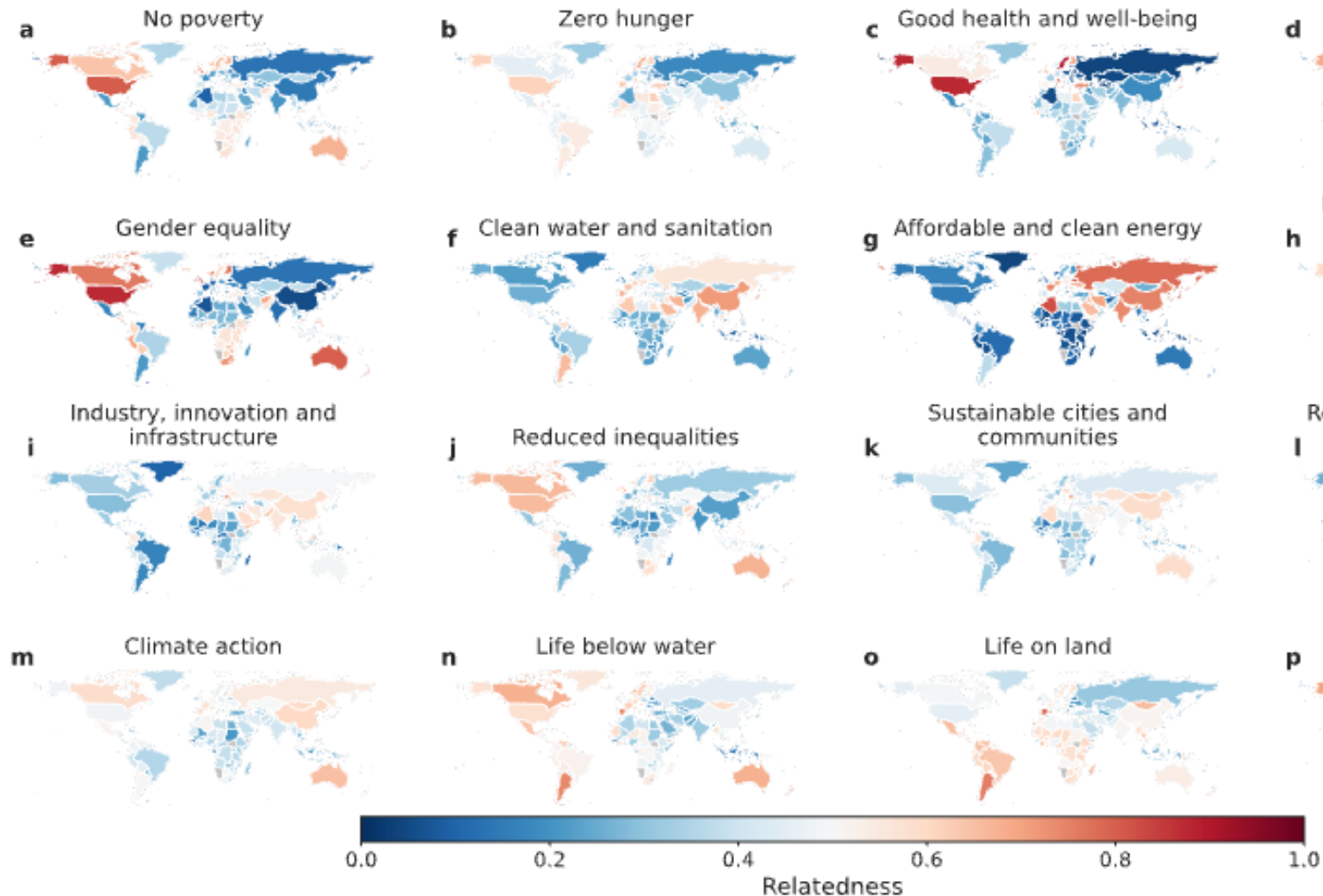


Fig. 3 reveals pronounced national disparities in scientific relatedness to SDGs, which seemingly reflects distinct national priorities, capacities and specialization.

For instance, advanced economies exhibit higher relatedness to social-inclusion-oriented SDGs, such as “Peace, justice, and strong institutions” and “Reduced inequalities”.

Several African countries show higher relatedness to “Zero hunger” and “Decent work and economic growth”, likely mirroring their pressing local challenges.

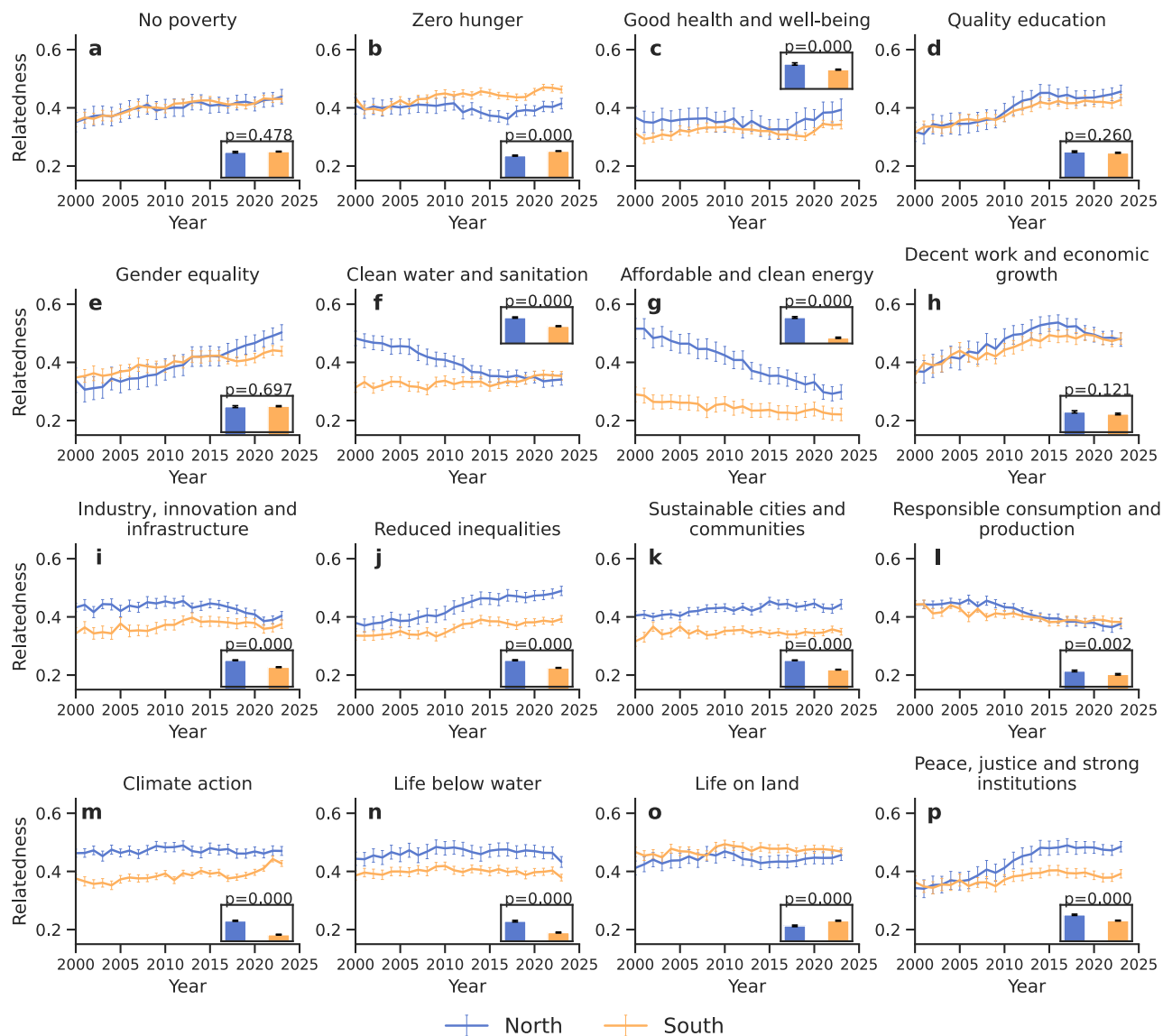
The United States demonstrates strong relatedness to “Good health and well-being”, consistent with its robust research base in the life and medical sciences.

Result

Global Disparities in Scientific Relatedness

We further find a prominent divergence between the Global North and the Global South across most SDGs, with the Global North demonstrating a stronger scientific relatedness (Fig. 4).

This pattern holds across multiple years, with the Global North exhibiting higher relatedness in specific goals, such as “Good health and well-being”, “Quality education”, and “Industry, innovation and infrastructure”.



The Dual Effect of Scientific Relatedness on Knowledge Production

Scientific relatedness increases the productivity of future knowledge production, while dampening its scientific novelty.

Increasing scientific relatedness ($\beta = 0.139$, $p < 0.01$) leads to a higher number of publications (Fig. 5a).

The negative coefficient of *Relatedness* ($\beta = -0.235$, $p < 0.01$, Fig. 5b) suggest that a one-standard-deviation increase in *Relatedness* corresponds to a 0.039-point ($=0.235 \times 0.165$) decrease in the proportion of novel publications, equivalent to 9.35% of the mean of novelty probabilities (0.417).

The Global South experiences a stronger novelty penalty induced by scientific relatedness than the Global North.

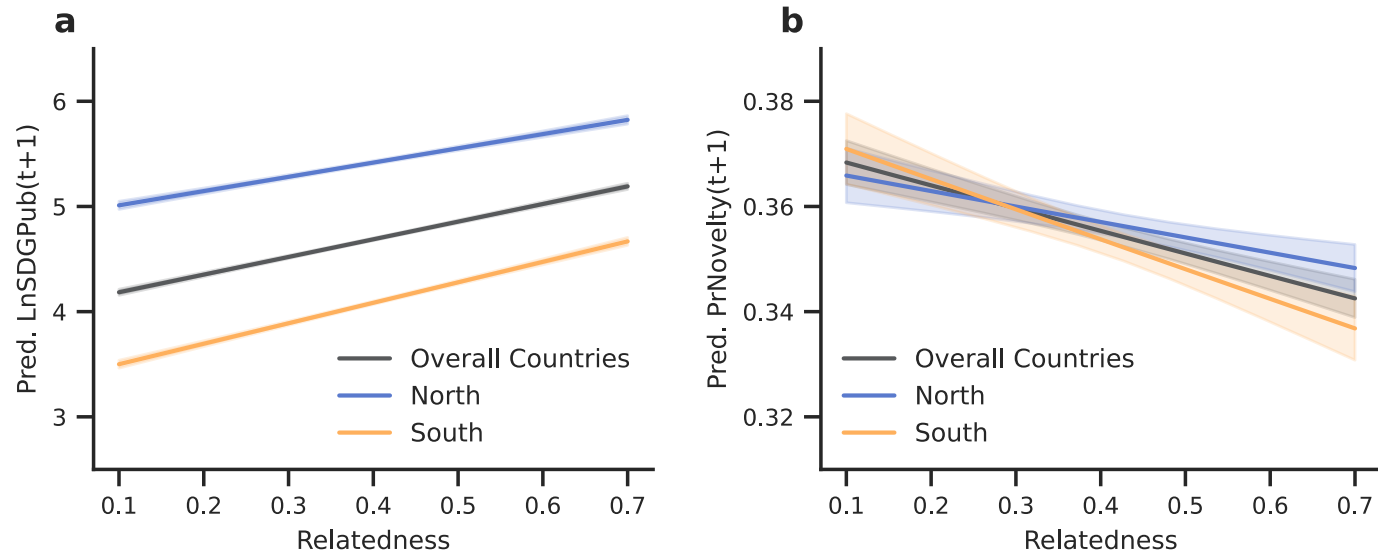


Figure 5. The estimated relationship between scientific relatedness, productivity, and novelty of future knowledge production.

The Buffering Effect of Boundary Spanning on the Novelty Penalty: Internal Boundary Spanning

Increasing interdisciplinarity of a country's existing knowledge base in an SDG domain, amplifies, rather than mitigates, the negative relatedness-novelty relationship

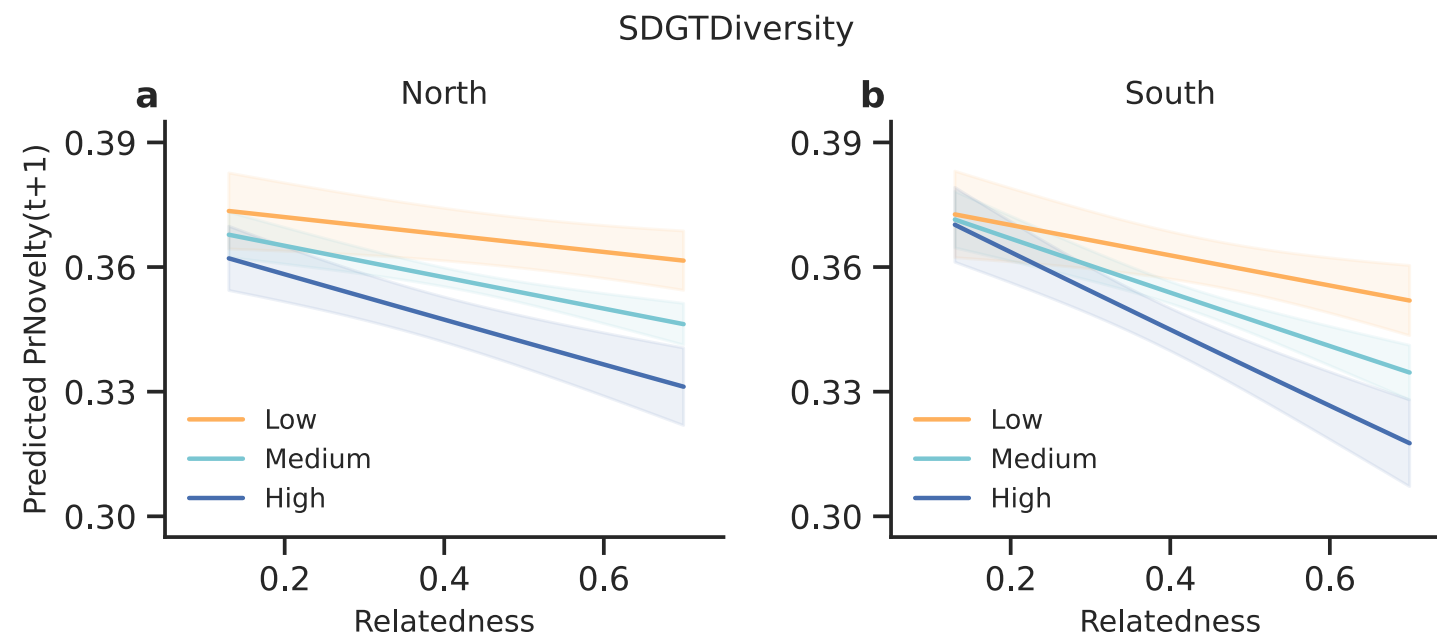


Figure 6. The estimated moderating effect of interdisciplinarity of a country's existing knowledge structure on the relatedness-novelty relationship.

The Buffering Effect of Boundary Spanning on the Novelty Penalty: External Boundary Spanning

Increased collaboration with the Global South, i.e., heterogenous collaboration, effectively buffers the novelty penalty for the Global North (Fig.7c), while increased homogenous collaboration intensifies this penalty (Fig.7e).

For the Global South, however, neither heterogeneous nor homogeneous collaboration shows a significant moderating effect (Figs. 7d and 7f).

A stronger network constraint in the global research collaboration network amplifies the negative relatedness-novelty relationship

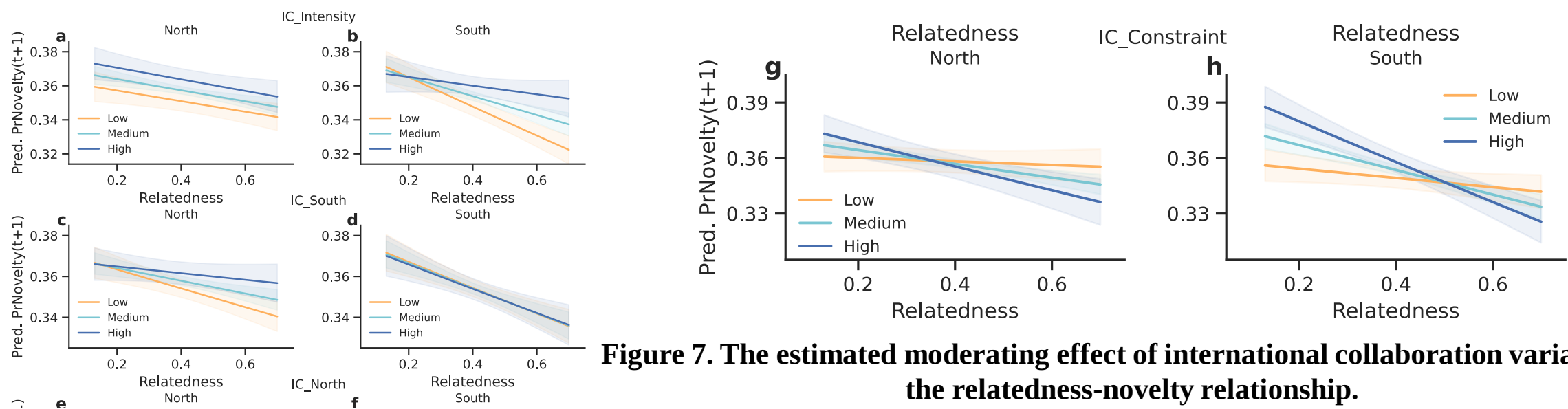


Figure 7. The estimated moderating effect of international collaboration variables on the relatedness-novelty relationship.

Robustness Checks

First, we incorporated countries' annual research and development expenditure as an additional control variable to our regression formula. We subsequently extended the inherent time required for existing knowledge stock to translate into new research outputs from one year to three years (Makri et al., 2010).

To mitigate potential estimation artifacts arising from countries with small annual productivity in specific SDG domains, we applied a Bayesian smoothing procedure to the novelty proportion measures (Cressie, 1995). Moreover, we replicated the analysis using an alternative, text-based novelty measure (Arts et al., 2025).

Then, we applied a stricter criterion to identify SDG-related publications, by increasing the threshold from 0.4 to 0.6.

As the final robustness check, we restricted the sample to publications where the focal country holds a leadership author position (first or last author) (Ioannidis, 2025; Mingers & Xu, 2010). All robustness checks yielded results consistent with our main findings, confirming the stability and reliability of the reported relationships.

Discussion and Conclusions

- Our findings uncover a persistent novelty penalty associated with scientific relatedness. This penalty hinders novel knowledge production in SDG-related research at the national level, with the Global South being disproportionately affected.
- The identified mitigating effect of international collaboration, particularly its capacity to buffer this penalty in both the Global North and the Global South contexts, therefore carries crucial policy implications.
- Our findings further challenge the conventional wisdom by revealing that interdisciplinarity does not uniformly facilitate novel knowledge production, and may, in fact, hinder it within SDG-related domains.
- Safeguarding and strengthening global research partnerships is not merely a scientific preference but a strategic imperative. For both the Global North and the Global South, international collaboration represents a vital mechanism to overcome structural disadvantages in the global knowledge system.

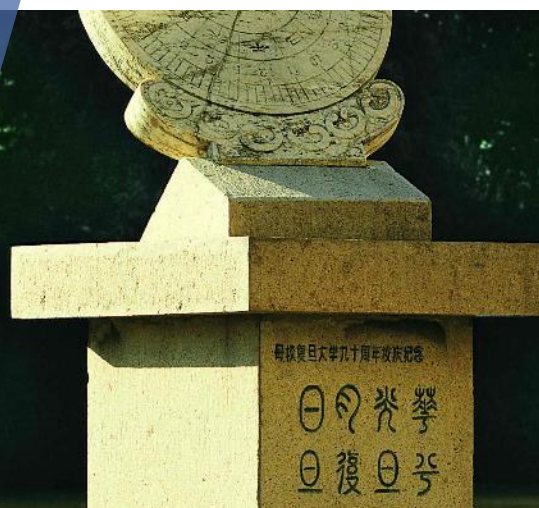
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